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PTO/SB/96 (09-08)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)

10635087

Applicant/Patent Owner: Victaulic Company

Application No./Patent No.: 6938879 Filed/Issue Date: 9/6/2005

Entitled: VALVE SEALS WITH PRESSURE RELIEF CHANNELS AND EXPANSION VOIDS

Victaulic Company, a Corporation of the State of New Jersey  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Philip W. Bancroft, et al. To: Victaulic Company of America

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: Victaulic Company of America To: Victaulic Company

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

John A. Chionchio  
Signature

John A. Chionchio  
Printed or Typed Name

Patent Attorney  
Title

10/16/08  
Date

215-864-8842  
Telephone Number

STATE OF NEW JERSEY  
DIVISION OF REVENUE  
**BUSINESS ENTITY AMENDMENT FILING**

Mail to:  
PO Box 308  
Trenton, NJ 08625  
**EEF REQUIRED**

Complete the following information and sign in the space provided. Please note that once filed, the information on this page is considered public. Refer to the instructions for delivery/return options, filing fees and field-by-field requirements. Remember to remit the appropriate fee amount for this filing. Use attachments if more space is required for any field, or if you wish to add articles for the public record.

**FILED**  
JUL 27 2005

A. Business Name: Victaulic Company of America

B. Statutory Authority for Amendment: 14A:9-1 et seq. (See Instructions for List of Statutory Authorities)

C. ARTICLE FIRST OF THE CERTIFICATE of the above referenced business is amended to read as follows. (If more space is necessary, use attachment)

**FIRST:** The name of the corporation is **VICTAULIC COMPANY.**

Check one of the following indicating what type of business:

☒ Incorporation ☐ Formation ☐ Registration ☐ Authority ☐ Limited Partnership

D. Other Provisions: (Optional) \_\_\_\_\_

E. Date Amendment was Adopted: \_\_\_\_\_

F. CERTIFICATION OF CONSENT/VOTING: (If required by one of the following laws cited, certify consent/voting)

N.J.S.A. 14A:9-1 et seq. or N.J.S.A. 15A:9-1 et seq., Profit and Non-Profit Corps. Amendment by the Incorporators

☐ Amendment was adopted by unanimous consent of the Incorporators.

N.J.S.A. 14A:9-2(4) and 14A:9-4(3), Profit Corps. Amendment by the Shareholders

☒ Amendment was adopted by the Directors and thereafter adopted by the shareholders.

Number of shares outstanding at the time the amendment was adopted 100,000, and total number of shares entitled to vote thereon 100,000. If applicable, list the designation and number of each class/series of shares entitled to vote:

List votes for and against amendment, and if applicable, show the vote by designation and number of each class/series of shares entitled to vote:

Number of Shares Voting for Amendment

Number of Shares Voting Against Amendment

100,000

0

\*\* If the amendment provides for the exchange, reclassification, or cancellation of issued shares, attach a statement indicating the manner in which same shall be effected.

N.J.S.A. 15A:9-4, Non-profit Corps. Amendment by Members or Trustees

The corporation has ☐ does not have ☐ members.

If the corporation has members, indicate the number entitled to vote \_\_\_\_\_, and how voting was accomplished:

☐ At a meeting of the corporation. Indicate the number VOTING FOR \_\_\_\_\_ and VOTING AGAINST \_\_\_\_\_. If any class(es) of members may vote as a class, set forth the number of members in each class, the votes for and against by class, and the number present at the meeting:

Class

Number of Members

Voting for Amendment

Voting Against Amendment

☐ Adoption was by unanimous written consent without a meeting.

If the corporation does not have members, indicate the total number of Trustees \_\_\_\_\_, and how voting was accomplished:

☐ At a meeting of the corporation. The number of Trustees VOTING FOR \_\_\_\_\_ and VOTING AGAINST \_\_\_\_\_.

☐ Adoption was by unanimous written consent without a meeting.

**G. AGENT/OFFICE CHANGE**

New Registered Agent:

Registered Office: (Must be a NJ street address)

Street \_\_\_\_\_

City \_\_\_\_\_

Zip \_\_\_\_\_

**H. SIGNATURE(S) FOR THE PUBLIC RECORD (See Instructions for Information on Signature Requirements)**

Signature [Signature] Title President

Date July 8, 2005

Signature \_\_\_\_\_ Title \_\_\_\_\_

Date \_\_\_\_\_

The above-signed certifies that the business entity has complied with all applicable NJ statutory filing requirements



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## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

23859

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

23859

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

Assignee Name and Address:

Victaulic Company  
4901 Kesslersville Road  
Easton, PA 18040

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

### SIGNATURE of Assignee of Record

The ~~(individual)~~ whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	10/2/08
Name	Lawrence W. Thau, Jr.	Telephone	610 559 3300
Title	Executive Vice President and Chief Technical Officer		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to be filed by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## A S S I G N M E N T

WHEREAS we, Philip W. Bancroft, residing at 24 Tamarack Road, Belvidere, New Jersey 07823, U.S.A.; Richard R. Weston, residing at 475 Spruce Lane, Nazareth, Pennsylvania 18064, U.S.A.; and Steven A. Wortmann, residing at 1011 George Street, Apartment 4D, Easton, Pennsylvania 18040, U.S.A., respectively, have made an invention entitled VALVE SEAL WITH PRESSURE RELIEF CHANNELS AND EXPANSION VOIDS described and claimed in an application for United States Letters Patent therefor, the same being executed by us on the 5<sup>th</sup> day of August, 2003, respectively.

AND WHEREAS, VICTAULIC COMPANY OF AMERICA, a corporation of the State of New Jersey having a place of business at 4901 Kesslersville Road, Easton, Pennsylvania 18040-6714, desires to acquire the entire interest in said application and any Letters Patent which may issue thereon;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt whereof is hereby acknowledged, we do hereby sell, assign, transfer, and confirm unto VICTAULIC COMPANY OF AMERICA, its successors, assigns and legal representatives all right, title and interest in and to said invention and any improvement thereon for all countries of the world, and in and to said application and any divisions, continuations, renewals and substitutes thereof, and any and all Letters Patent which may issue thereon, and any reissues of the same; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all patents granted on said invention to VICTAULIC COMPANY OF AMERICA, as the assignee of the patents granted on said invention to VICTAULIC COMPANY OF AMERICA, as the assignee of the entire interest therein, and we further agree to execute upon request of the assignee such additional documents, if

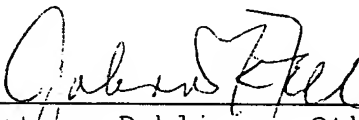
any, as are necessary and proper to secure patent protection on said invention, and to otherwise give full effect to, and perfect the rights of the assignee under this Assignment.

IN TESTIMONY THEREOF, I have hereunto signed my name at EASTON, Pennsylvania, this 5<sup>th</sup> day of August, 2003.

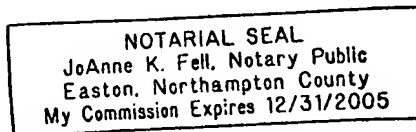
  
Philip W. Bancroft

County of Northampton :  
State of Pennsylvania :

On the 5<sup>th</sup> day of August, 2003, appeared before me in person the above-named Philip W. Bancroft, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for uses and purposes therein set forth.

  
Notary Public or Other Official  
Authorized to Administer Oaths

SEAL



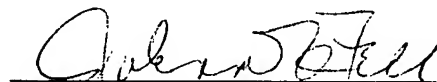
any, as are necessary and proper to secure patent protection on said invention, and to otherwise give full effect to, and perfect the rights of the assignee under this Assignment.

IN TESTIMONY THEREOF, I have hereunto signed my name at Easton, Pennsylvania, this 5<sup>th</sup> day of August, 2003.

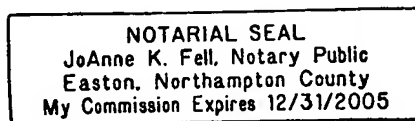
  
Richard R. Weston

County of Northampton :  
State of Pennsylvania :

On the 5<sup>th</sup> day of August, 2003, appeared before me in person the above-named Richard R. Weston, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for uses and purposes therein set forth.

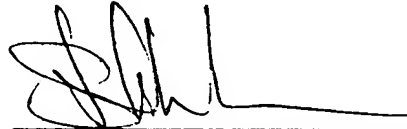
  
Notary Public or Other Official  
Authorized to Administer Oaths

SEAL



any, as are necessary and proper to secure patent protection on said invention, and to otherwise give full effect to, and perfect the rights of the assignee under this Assignment.

IN TESTIMONY THEREOF, I have hereunto signed my name at Easton, PA, this 5<sup>th</sup> day of August, 2003.

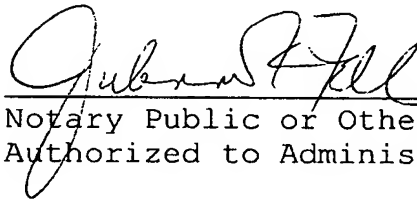


Steven A. Wortmann

County of Northampton :

State of Pennsylvania :

On the 5<sup>th</sup> day of August, 2003, appeared before me in person the above-named Steven A. Wortmann, and acknowledged the above to be his signature and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for uses and purposes therein set forth.



Notary Public or Other Official  
Authorized to Administer Oaths

SEAL

